

Arthur Lipper

Investment Banker, Financier, Management Consultant, Author, Inventor and Lecturer

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Provides and arranges financing and offers financial and management advisory services.

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Mr. Lipper has been affiliated with the international financial community since 1954. His Stock and Commodity Exchange memberships have included: NYSE, American, Midwest, Pacific Coast, Detroit, Boston, Philadelphia, Bangkok, NY Comex and NY Futures Exchange. He has served as advisor to: Mid-America Commodity Exchange and Bourse de Beyrouth. He was the founder and CEO of Arthur Lipper Corporation and co-founder and Chairman of New York & Foreign Securities Corporation. Mr. Lipper also serves as President of Communications Management Associates.

Forward Contract Exchange Company Ltd., a subsidiary of Arthur Lipper Corporation, conceived and created stock index futures and refined petroleum product futures in Amsterdam in the 1972-4 period.

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Writings include:

LIPPER Mutual Fund Performance Analysis service and other investment company related services created by Arthur Lipper Corporation 1967-72.

Venture's Financing & Investing In Private Companies - A Guide to Understanding Entrepreneurs and their Relationships with Investors, Lenders and Advisors (Probus) 1988. The Larry and Barry Guide to Venture Funding 1984. Venture's Guide to Investing In Private Companies (Dow-Jones Irwin) 1984. Thriving Up and Down the Free Market Food Chain - The Unrestrained Observations (and Advice) of a Business Darwinist (HarperBusiness) 1991. The Guide for Venture Investing Angels - Financing & Investing In Private Companies (Missouri Innovation Center) 1996 - now in 2nd printing. The Larry & Barry Guide to Entrepreneurial Wisdom 2002 (published by CITIC in Chinese in 2004) (www.VenVest.com) and www.GAPRating.com.

Columns, Forewords, Chapters and Articles Contributed: Barron's, "Business Owner/Entrepreneur" column in the San Diego Business Journal, "Views of a Venturer" columnist for Pittsburgh's Executive Report and Women's News, The Franchise Advantage (1987), The Venture Magazine Complete Guide To Venture Capital (1987), Leadership: Making Things Happen (1986), Handbook for and Innovative Managers (1987), Executive Chess (1987), Beyond IBM (1989), Exceptional Entrepreneurial Women (1988), The Dynamos - Who Are They Anyway (1987), Knights and Knaves of Corporate Boardrooms (1989), Library of Investment Banking - Capital Raising & Financial Structure (1990) and to the Venture Capital Club Monthly, Association of Venture Founders Newsletter, Gifted Children Newsletter, Venture Magazine, Journal of Creative Behavior, Magazine of Artificial Intelligence in Finance, www.gifted-children.com, www.bizwiz.com/venvest/asklipp.htm Access Business OnLine Daily Newspaper, Essential Elements to Business Success (1999) www.voiceofsandiego.com, La Jolla Light & Del Mar Times

Memberships & Affiliations:

N.Y. Stock Exchange Luncheon Club *
Foreign Correspondents Club of Japan *
Hollandse Club - Singapore *
National Investor Relations Institute *
Soundshore Pistol Club *
Canadian Club of New York (ex-Governor) *
Princeton Club - New York *

Metropolitan Club - New York
UCSD Chancellor's Associates
San Diego Press Club
CFA Society of San Diego & CFA Institute
UCSD Faculty Club
San Diego-Tijuana Sister Cities Society*
University of California, San Diego

Present and Prior Educational Activities & Affiliations:

Hunter Model Elementary School ... U. S. Capitol Page School... Bronx High School of Scienc ... Gazelle's .Master of Business Dynamics*...Keller Graduate School of Business Master of Business Administration*...The Entrepreneurship Institute ... Kenan Institute of Private Enterprise of Kenan-Flagler Business School/Univ of North Carolina ... Snider Entrepreneurial Center - Wharton School/Univ of Penn ... Mac Arthur Foundation - Fellows Program ... National Academy of Finance Fdn ... Manhattanville College Entrepreneurial Center - Purchase, NY ... Taylor Institute for Entrepreneurial Studies, College of New Rochelle ... SBDC Regional Center/Pace University, New York City ... National Fdn for Teaching entrepreneurship - to Handicapped and Disadvantaged Youth ... Joint Council On Economic education ... National Schools Committee for Economic Education ... U.S. Small Business Admin – Arthur Young Entrepreneur of the Year program ... National Investor Relations Institute... Women's Network For Entrepreneurial Training Steering Committee... High School Entrepreneurs ... Avon Women of Enterprise award program ... Small Business Economics - An International Journal ... UCSD Chancellor's Associates Faculty Awards Selection Committee ... SDSU Entrepreneurial Management Center ... UCSD Arts & Humanities Department, Dean's Advisory Board ... San Diego (county) Economic Advisory Board ... La Jolla Playhouse ... San Diego Opera ... Pepperdine University's Third Pillar Society...Chairman Board of Trustees of the Berger Collection Educational Trust ... Fordham University's Venture Institute Advisory Board...Marine Corps Intelligence Association.... UCSD's International Relations / Pacific Studies Graduate School ... Scripps Gift Planning Advisory board ... UCSD Chancellor's Community Advisory Board ((formerly Board of Overseers) ... Coalition to Protect the American Worker ... www.protectamericanworker.org ... FinnFest 2011 Members Committee ... Wounded Warriors Balboa Park Technology Internship Program * non-USMC educational degrees are honorary

Mr. Lipper has addressed graduate and undergraduate students at: Universities of: Pennsylvania, California, Los Angeles, North Carolina, Miami, Oklahoma, UCSD, IC² Institute UT Austin and Harvard, Yale, Boston U, Babson, Stern School of NYU, Westchester Community College, George Washington, Northwestern, Tuck School of Dartmouth, Clark, Georgetown, Stanford, Marquette, Keller Grad Sch of Business, Wichita State, College of New Rochelle, Iona, Baruch, Cal State San Marcos, Cal State Fullerton, Pepperdine, Fordham University of Alabama, University of San Diego, UCSD, Texas Tech University and others. He has also presented to: Venture groups and entrepreneurship associations in cities including: San Diego, Los Angeles, New York, Chicago, San Francisco, Houston, Denver, Indianapolis, Boston, Helsinki, Sydney, Tokyo, and Singapore.

Current patent(s): Comparator & Indexer (dynamically monitors securities and commodities portfolios with a user ability to select the factors being compared and indexed) (www.comparator-indexer.com) Sharia Fair* (and other) Revenue Participation Contracts and Exchange approach to financing companies with negotiable royalty contract units which may be traded on a government regulating body approved exchange. A fatwa decreeing Sharia compliance has issued. (www.rex.sg)

Currently serving as: Officer, Director or Advisor: Lion Brothers (world's oldest/largest embroidered emblem mfg)... DKS Technologies (diesel engine performance enhancement)... Global Mining Corporation (gold mining concessions in Sonora, Mexico) ... Kenan Institute/ of Private Enterprise / Kenan Institute Asia Liaison Committee ... SINIPCO Pte. Ltd. (Singapore Intellectual Property Company)... Royalty Entitlement Pte. Ltd. (www.rex.sg) ... Wind Rocker Associates (low wind velocity power generation) (www.windrocker.com) ... Verified Surveillance (provides video surveillance monitoring services) ... Center For Innovation Commercialization LLC (Introducing Israeli technology globally) ... GridPlex Networks L.L.C. (Intelligent automation to empower and aggregate consumers) ... Global Sustainability Fund ... Senior Advisor to Aquapro ... America-China Energy Trust.

Previously served as:

Chairman - Arthur Lipper Corporation and international subsidiaries - NYSE member firm
Chairman - Publisher and Editor-In-Chief - Venture Magazine
Chairman - New York & Foreign Securities Corporation (co-founder) - NYSE member firm
Publications - publisher of Gifted Children Monthly
Chairman - White Plains Cable Television Commission
Chairman - Microband Corporation of America - licensed FCC Common Carrier
Chairman - White Plains Mayor's Committee on Non-Tax-Based Revenue
Director - Small Business Foundation of America
Director - Channels Magazine (as representative of the Markle Foundation)
Director - International Council for Small Business
Director - San Diego Venture Group
Director - Marquest Medical Products Inc. (NASDAQ: MMPI), Chairman of Audit Committee
Advisor - HemaCare (HEMA) Passive Hyperimmune Therapy for treatment of AIDS
Advisor - Lifers Group of Rahway (New Jersey State Prison)
Member - Securities Industry Association Economic Education Committee
Adjunct Associate Professor - NYU Stern Graduate School of Business Administration
Adjunct Professor - Westchester Community College
Host/Co-producer - Venture's Franchise Showcase (series of 30 min television shows)
Host/Producer - Capital Quest (weekly AM Los Angeles radio program for entrepreneurs seeking capital)

Director: Electropure (ELTP)
IntraVantage, Cognitive Diagnostics,
International Human Assistance Chairman -
Gifted & Talented Program. Zi Corporation (ZICA),
Qtron, On-Point Technologies (ONPT)
College Savings Bank, Princeton, NJ
Total Multimedia, Top source (TPS)

Advisor: Meadox Medical,
Thai Photovoltaics, Access
Communication Systems,
Sensorwave

President: Las Vistas Home
Owners Association

He has been commercially active in: Canada, Argentina, Mexico, Panama, Venezuela, England, Sweden, Switzerland, Holland, Lebanon, Portugal, Bahrain, Australia, Singapore, Malaysia, Indonesia, Thailand, Hong Kong, China, Finland and Japan.

Personal: Born: December 24, 1931 Family Status: Married 1962 Wife: Anni Sons: Christopher & Gregory
Hobbies: Financing new companies, assisting entrepreneurs, writing, tennis & pistol shooting
Military: U.S. Marine Corps - 1951-1954 Sergeant, 0231 MOS, Korea, Purple Heart

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