

MICHAEL T. LITTLE

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SUMMARY

Previously served in Senior Executive positions involving Capital Markets, Public Relations, and Business Development. Highly skilled in creating new revenue streams throughout the United States capital markets. Seeking opportunity to lead investor and public relations initiative for an emerging energy or technology company.

CAPITAL MARKETS BUSINESS DEVELOPMENT SKILLS

Entrepreneurial Business Developer	Relationship Forger and Manager
Client Platform Originator	Revenue Producer
Noted Communicator and Public Speaker	Extensive Asset Manager Contacts
Extensive Portfolio Management Experience	Proven Record of Increasing Shareholder Value

EMPLOYMENT HIGHLIGHTS

- 2012 – Present** **Laser Energetics (LNGT)**, Director, Corporate Communications & Investor Relations
- Orchestrated private investment road shows & managed private investments
 - Issued critical press release communications
 - Maintained shareholder communications
- 2008 – Present** **The America China Bridge**, Board Advisor
- Introduced strategic partners from Dahlman Rose and Jeffries to invest in \$4 billion Mongolian coal investment
 - Introduced leading energy investment banks to Bridge investments
- 2008 – 2008** **Investor Relations Group**, Executive Director, New Business Development (IRG is a full-service corporate communications firm that provides Investor relations and public relations services)
- Secured 6 new business corporate clients in three months
 - Secured \$5 million financing for existing client AOI Medical (AOI)
- 2007 – 2008** **Laidlaw & Company**, Managing Director, Investment Bank-Originated private equity opportunities
- Closed over \$100 million growth equity financing for Patriot Rail
 - Closed over \$15 million growth equity financing for Pure Water Global
 - Orchestrated management-led buyout & capital financing for Southern California Waste Water
 - Orchestrated Euro Mortgage Bankers \$16 million equity financing
- 2005 – 2007** **Maxim Group**, Senior Vice President, Institutional Sales

- Resurrected institutional research & trading client platform in the Midwest (Chicago, Milwaukee, Minneapolis, Detroit, St. Louis)
- Increased American Technology Corporation institutional ownership by 30%. Increased company equity value from \$9.6 million to \$69.7 million.
- Created and executed American Technology Corporation national road shows in 2008-09
- Originated and executed over 100 emerging growth company road shows
- Closed over \$100 million of finance transactions (PIPEs, SPACs, IPOs)
- Spearheaded United States road shows for leading Chinese public companies in technology, healthcare, agriculture, and energy (CSST, SEED, SORL, WATG, FFHL, CABLF)

2003 – 2005 **Oppenheimer and Co., Executive Director, Institutional IR/Sales – Emerging Growth**

- Founded new Oppenheimer institutional sales & trading desk to manage and build relations with the largest buy side firms: Alliance, JP Morgan, CSFB, Deutsche Bank, Morgan Stanley. Achieved sales of over \$20 million.

2000 – 2003 **Prudential Securities, Senior Vice President, Institutional Equity Sales**

- Built institutional research sales business of over \$9 million per year in gross production
- Planned and executed over 100 road shows with CEOs and CFOs of represented public companies covered by top ranked Research Analysts, including Carol Coale, Mike Mayo, Nick Heymann, John McMillan.

EDUCATION & LICENSES

M.B.A., Columbia University, 2000

B.S., *magna cum laude*, Finance/Business Management, Syracuse University

Loomis Chaffee School

Financial Regulatory Authority Licensed Series 7, 63, 65

OTHER EXPERIENCE/INTERESTS

Professional Comedian: Opened for Rodney Dangerfield, 10 years. Also opened for Robert Klein, Jay Leno, Smothers Brothers, Jerry Seinfeld, Ray Romano, Brian Regan. Appeared on MTV Comedy Hour with John Stewart, Bill Boggs Comedy Show (Fox), Howard Stern Radio (WNBC).

Martial Arts and Fitness: Black Belt in Okinawan Gojo Ryu and Isshin Ryu Karate; teacher 20 years ISSA Certified Strength Conditioning Coach & Trainer with CPR & AED Certification.